

FEB 14 2005

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**FACSIMILE COVER LETTER**

**To:** Examiner Shean Chiu Wu  
**Firm:** United States Patent and Trademark Office  
Art Unit 1756  
**Facsimile:** (703) 872-9306 **Telephone:** (571) 272-1393  
**From:** Howard C. Lee  
**Date:** February 14, 2005  
**Re:** USSN 10/070,242  
Applicants: Hornung et al.  
For: "FIVE-MEMBERED RING COMPOUNDS AND UTILIZATION  
THEREOF IN LIQUID CRYSTAL MIXTURES"  
Filed: October 15, 2002  
FLH Ref. No.: 514453-3916  
**Number of Pages:** 19  
(including cover page)

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If you do not receive all pages or are unable to read the transmission, please call and ask for Howard Cutler @ ext. 2006.

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## Attached find:

- |     |   |   |          |
|-----|---|---|----------|
| (1) | Request for Continued Examination (RCE) Transmittal     | - | 1 page   |
| (2) | Preliminary Amendment for RCE and Request for Interview | - | 17 pages |

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PTO/SB/30 (10/01)

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# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provided for continued examination of a utility or plant application filed on or after June 8, 1995.  
See The American Inventors Protection Act of 1999 (AIPA).

Application Number	10/070,242
Filing Date	October 15, 2002
First Named Inventor	Hornung et al.
Group Art Unit	1756
Examiner Name	Shean Chiu Wu
Attorney Docket Number	514453-3916

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.  
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

CENTRAL FAX CENTER

## 1. Submission required under CFR 1.114.

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on  
(Any unentered amendment(s) referred to above will be entered)
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on
- iii. ☐ Other
- b. ☒ Enclosed
- i. ☒ Preliminary Amendment w/ Request for Interview
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other

## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other

## 3. Fees

The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge any additional fees, or credit any overpayments, to  
Deposit Account No. 50-0320.
- b. ☐ Check in the amount of \$770.00 is enclosed for the following:
- i. ☐ RCE fee required under 37 CFR 1.17(c)
- ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☐ Other
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Howard C. Lee	Registration No. (Attorney/Agent)	48,104
Signature	<i>Howard C. Lee</i>	Date	February 14, 2005

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Name (Print/Type)	Howard C. Lee	Registration No. (Attorney/Agent)	48,104
Signature	<i>Howard C. Lee</i>	Date	February 14, 2005

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